



**SAFE**

# Navigating Regulatory Compliance:

A Guide to SAFE's  
Board-Level Oversight and  
Risk Management



## Business Challenge

Global regulatory frameworks, including the **Digital Operational Resilience Act (DORA)**, **Securities and Exchange Commission (SEC)**, **Network and Information Security Directive 2 (NIS2)**, and **New York Department of Financial Services (NYDFS)**, are reshaping how enterprises manage cyber risk. The growing expectation is for organizations to provide board-level oversight of cyber risks and integrate these risks into governance structures.

Failure to meet these evolving mandates exposes enterprises to:

- **Hefty Penalties:** Up to 2% of global turnover or millions in fines.
- **Operational Disruptions:** Activity suspensions or restrictions.
- **Reputational Damage:** Loss of stakeholder confidence and market credibility.
- **Personal Liability:** Fines and sanctions for board members and executives.

SAFE, powered by the FAIR-MAM model, provides real-time risk quantification and actionable insights, empowering organizations to meet compliance requirements for SEC, DORA, NIS2, NYDFS, GDPR, and HIPAA with confidence and resilience.

## Key Regulatory Frameworks and Their Requirements

### Digital Operational Resilience Act (DORA) – EU

- Scope: Financial entities and ICT providers.
- Penalties: Up to €10M or 2% of global turnover.
- Focus: Board accountability, risk governance, and ICT resilience.

### Securities and Exchange Commission (SEC) – U.S.

- Scope: Publicly traded companies and financial institutions.
- Penalties: Up to \$10M per corporate violation; \$1M for individuals.
- Focus: Timely disclosure of material cyber risks and board oversight.

### Network and Information Security Directive 2 (NIS2) – EU

- Scope: Critical infrastructure sectors like finance, energy, and transport.
- Penalties: Up to €10M or 2% of global turnover.
- Focus: Incident reporting, supply chain risk management, and resilience testing.

### New York Department of Financial Services (NYDFS) – U.S.

- Scope: Financial services companies operating in New York.
- Penalties: Significant monetary fines for non-compliance.
- Focus: Cyber risk governance, incident reporting, and third-party risk management.

*"Regulatory compliance is no longer just a checkbox—it's a strategic imperative. SAFE empowers organizations with real-time visibility, material risk insights, and board-ready tools to navigate the evolving regulatory landscape with confidence."*



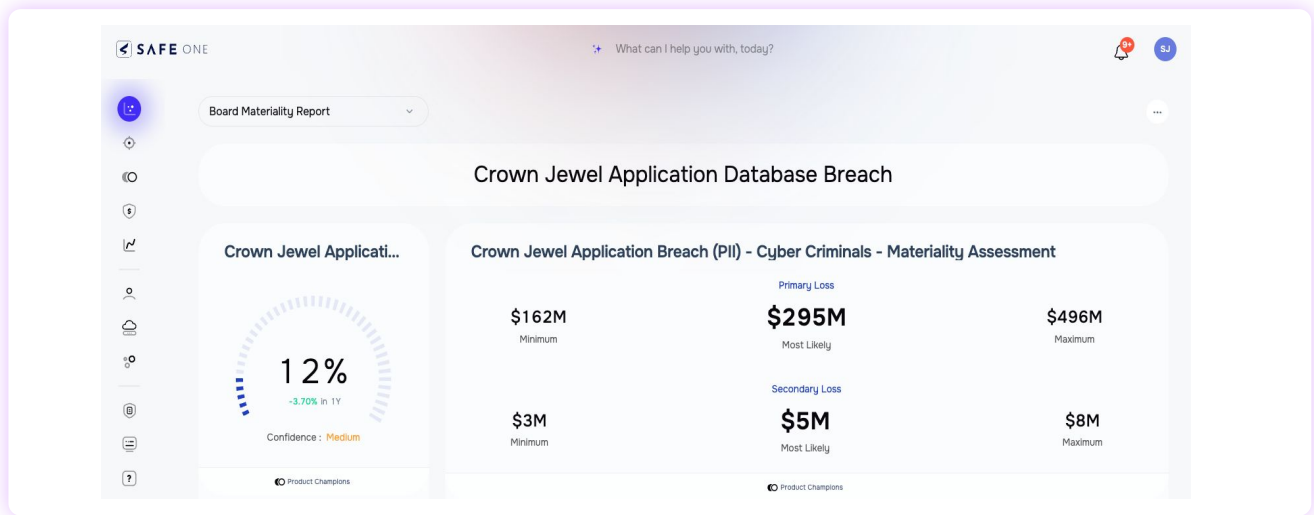
# How SAFE Helps You Meet Regulatory Requirements

## Governance Alignment

SAFE provides enterprises with a centralized governance framework that aligns cyber risk oversight with regulatory requirements. By quantifying cyber risks in financial terms and delivering insights directly to the board, SAFE ensures informed decision-making and strategic alignment.

## Continuous Risk Monitoring

SAFE delivers real-time visibility into material risks, offering a consolidated view of potential threats and vulnerabilities. This continuous monitoring enables organizations to track the financial, operational, and reputational impacts of risks while prioritizing mitigation efforts.



## Third-Party Risk Management

SAFE extends its governance framework to manage third-party risks, ensuring compliance across the supply chain. Through rigorous evaluation and monitoring of vendor practices, SAFE ensures resilience and minimizes exposure to external vulnerabilities.

## Incident Reporting and Readiness

SAFE simplifies compliance with incident reporting requirements by ensuring timely and transparent disclosure of material incidents. Additionally, the platform enables organizations to conduct simulations and readiness assessments, preparing boards for effective oversight in real-world scenarios.

## Board Training and Enablement

SAFE equips board members with intuitive dashboards that translate complex cyber risk metrics into actionable insights. It also provides targeted training to enhance their understanding of regulatory and operational risks, enabling informed and effective leadership.

Curious to see how SAFE transforms compliance oversight? [Schedule your 1:1 demo](#) with a SAFE cyber risk expert today.



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